

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Kenji HORI

Application No.: ~ Rule 53(b) Continuation Application of  
U. S. Patent Application No. 10/032,093 filed  
December 31, 2001

Filed: January 27, 2004

Docket No.: 111608.02

For: OPTICAL COMPONENT THICKNESS ADJUSTMENT METHOD, OPTICAL  
COMPONENT, AND POSITION ADJUSTMENT METHOD FOR OPTICAL  
COMPONENT

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☒ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of this non-CPA application, OR (b) before the mailing date of a first Office Action on the merits in the present application. No certification or fee is required.
- ☒ 2. A co-pending U.S. patent application is identified on Form PTO 1449 of this Information Disclosure Statement. The Examiner is respectfully requested to consider each cited application and the art cited therein during examination of the present application.

- ☒ 3. The references were cited by or submitted to the Office in parent application No. 10/032,093, filed December 31, 2001, which is relied upon for an earlier filing date under 35 U.S.C. §120. Thus, copies of these references are not attached. 37 CFR §1.98(d).

Respectfully submitted,



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| Form PTO-1449<br>(REV. 8-83)  |  | US Dept. of Commerce<br>PATENT & TRADEMARK OFFICE |  | ATTY DOCKET NO.<br>111608.02    |  | Rule 53(b) Continuation<br>Application of U. S. Patent<br>Application No. 10/032,093<br>filed December 31, 2001 |  |
| INFORMATION DISCLOSURE STATEMENT<br><br>(Use several sheets if necessary) |  |   |  | APPLICANT(S)<br>Kenji HORI      |  |   |  |
|   |  |   |  | FILING DATE<br>January 27, 2004 |  |   |  |

  

| U.S. PATENT DOCUMENTS |   |                 |         |            |       |              |
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|---|-----------------|
| EXAMINER  | DATE CONSIDERED |
| Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |                 |

Date: January 27, 2004